#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/994,560

Confirmation No.: 8731

First-Named Inventor: Harold L. Bennett

Filing Date: November 27, 2001

Group Art Unit: 1714

Examiner: Cephia D. Toomer

Attorney Docket No.: 31088-2

Title: IMPROVED PROCESS FOR PRODUCTION OF LOW

TEMPERATURE CHAR DURING PRODUCTION OF LOW

TEMPERATURE TARS

### REVISED PETITION TO REVIVE ABANDONED PATENT IN ACCORDANCE WITH 37 C.F.R. § 1.137 (a), (b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby petitions to revive United State Application No. 09/994,560, pursuant to 37 C.F.R. § 1.182, or, alternatively, under § 1.137(a) or (b). This patent became abandoned for failure to timely respond to an Office Action, which response was due April 15, 2003. However, the files of Applicant's counsel reflect that a response was filed on May 15, 2003, including a request for a 1 month extension of time and the requisite fee, as well as an authorization to deduct any fees for any additional extensions of time from a valid deposit account.

The files further reflect that:

1) On July 24, 2003, the Examiner contacted the office of Applicant's counsel, and indicated that the file wrapper at the PTO did not include any response to the January 15 Office Action;

2) In response, office of Applicant's counsel confirmed that, according to its fax records,

it had received confirmation that the PTO's fax bank had received all pages of the response on

May 15. The Examiner believed the response may have been submitted to the scanning

department at the PTO.

The office of Applicant's counsel indicated that, if the Examiner was unable to locate the

response to the office action, it would provide another copy of the response. However, no such

request was ever received by Applicant's counsel. See Exhibits A and B (a copy of the response,

including the fax transmittal confirmation, and contemporaneous notes recorded at the office of

counsel for the applicant, recording communications with the Examiner, respectively).

Applicant asks for a determination by the Director, pursuant to 37 C.F.R. § 1.182, that the

application was never properly abandoned, because the required response was timely filed, and

that, rather than a Notice of Abandonment, the Patent Office should have either allowed the

application or issued an Office Action stating the grounds for continued rejection or objections.

Alternatively, Applicant asks that the application be revived pursuant to 37 C.F.R. §

1.137(a), because the abandonment was not the product of any delay in filing a response to the

Office Action, and, therefore, it was unavoidable.

As a third alternative, Applicant asks that the application be revived pursuant to 37

C.F.R. § 1.137(b), because any delay in filing this petition was unintentional. Harold Bennett,

the inventor and principal of the assignee, only recently learned, when he attempted to look up

the file on line, that the file had become abandoned. See Exhibit C, Declaration of Harold

Bennett. Although a Notice of Abandonment was sent to the office of Applicant's counsel, Mr.

Bennett never received a copy of that Notice.

REVISED PETITION TO REVIVE

U.S. Patent Application No. 09/994,560

#568124

**EXHIBIT A** 

A \$400 fee for the petition pursuant to § 1.182, as set forth in § 1.17(f), is enclosed. The

Office is authorized to charge any additional fees required to Deposit Account 23-3030,

including, should the Commissioner conclude that the patent was unavoidably or unintentionally

abandoned, the difference in the fees required under §§ 1.82 and 1.137(a)(2) or (b)(2). In that

event, and since the response to the Office Action was submitted by fax on May 15, 2003, no

outstanding response is required under 37 C.F.R. § 1.137(a)(1) or (b)(1). However, a copy of

that response (including fax cover page) is attached as Exhibit A, against the possibility that the

Patent Office never located the misplaced response. Since the application is for a utility patent

application filed after June 8, 1995 no terminal disclaimer is required pursuant to § 1.137(a)(4)

or (b)(4).

The Commissioner is respectfully requested to contact the undersigned attorney by

telephone to resolve any issues regarding this petition and/or application.

Respectfully submitted,

By: /s/Quentin G. Cantrell

Quentin G. Cantrell, Reg. No. 47,469

Woodard, Emhardt, Moriarty, McNett & Henry LLP

111 Monument Circle, Suite 3700

Indianapolis, IN 46204-5137

(317) 713-4953

REVISED PETITION TO REVIVE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

Application Number	09/994,560
Filing Date	November 27, 2001
First Named Inventor	Harold L. Bennett
Group Art Unit	1714
Examiner Name	Cephia D. Toomer
Attorney Docket Number	31088-2

ENCLOSURES (check all that apply)										
Fee Tr	ansmittal Form		Assignment Papers and rec	cordation		After Allowance Communication to Group				
	Fee Attached		Drawing(s) (3) Redlined to show changes			Appeal Communication to Board of Appeals and Interferences				
Amend	dment Response to Final O.A.	Licensing-related Papers			Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief)					
	After Final		Petition Routing Slip (PTO/ Accompanying Petition	Proprietary Information						
	Affidavits/declaration(s)		To Convert a Provisional Ap	oplication	Status Letter					
Extens	ion of Time Request (1 month)		Power of Attorney, Revocate Change of Correspondence			Additional Enclosure (please identify below)				
Expres	es Abandonment Request		Terminal Disclaimer							
Inform	ation Disclosure Statement	Small Entity Statement								
Certifie	ed Copy of Priority Documents									
Respo Incomp	nse to Missing Parts/ plete Application Revised Cover Sheet	Rema	rks							
Respo 37 CFI	nse to Missing Parts under R 1.16 (I) and 1.52(c).									
	SIGNATURE	OF A	PPLICANT, ATTORNE	Y, OR AG	ENT	:				
Firm	Quentin G. Cantrell									
or Individual Nar	me Woodard, Emhardt, Naughton, Mo	oriarty 8	McNett			·				
Signature	Just & buth									
Date	May 15, 2003									
			ertificate of Mailing		·					
I hereby certif	y that this correspondence is being facsim			lemark Office	to (703	) 746-4176 on this date:				
Typed or print	<u> </u>									
Signature	Signature Date May 15, 2003									

EXHIBIT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2003 Patent fees are subject to annual revision. Transmitted Via Facsimile to: 703-746-4176 Complete if Known Application Number 09/994,560 Filing Date November 27, 2001 First Named Inventor Harold L. Bennett Group Art Unit 1714 Examiner Name Cephia D. Toomer

Total Amount of Payment   (\$)\$5.0   Aborney Docket Number   \$1088-2		Examiner I	Vame		Ceph	a D. Toomer			
Check	Total Amount of Payment (\$)55.00	Attorney D	orney Docket Number 31088-2						
Deposit Account	METHOD OF PAYMENT		FEE CALCULATION (continued)						
Company   Comp	Check Credit card Money Other Nor	e 3. AD	3. ADDITIONAL FEES						
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Deposit   Account   Acco	Account	1052	50	2052	25				
Account Name		1053	130	1053	130	Non-English specification			
Name	Account Woodard, Emhardt, Moriarty,	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	-		
The Commissioner is authorized to: (check all that apply)  Scharge fee(s) indicated below, except for the filing fee to the above- identified deposit account.  1. BASIC FILING FEE Large Entity Fee Fee (s) Code Fe	Name McNett & Henry LLP	1804	920*	1804	920*				
Charge fee(s) Indicated below   Credit any overpayments   Charge fee(s) Indicated below   C	The Commissioner is authorized to: (check all that apply)	1805	1 840*	1805	1 840*				
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1001   750   2001   375   Utility Filing Fee   1403   320   2402   160   Filing a brief in support of an appeal   1002   330   2002   165   Design Filing Fee   1451   1,510   1451   1,510   Petition to institute a public use proceeding   1452   110   2452   55   Petition to revive – unavoidable   1453   1,300   2453   650   Petition to revive – unavoidable   1453   1,300   2453   650   Petition to revive – unavoidable   1453   1,300   2453   650   Petition to revive – unavoidable   1503   1,300   2501   650   Utility Issue fee (or reissue)   1502   470   2502   235   Design Issue fee   1,460   130   1,460   130   Petitions to the Commissioner   1,460   130   1,460   130   Petitions related to provisional applications   1,460	ree Fee (\$) Description Fee F		320	2401	160	Notice of Appeal			
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Total Claims	SUBTOTAL (1) (\$)	1501	1,300	2501	650	Utility issue fee (or reissue)			
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Total Claims  Independent Claims  Multiple Dependent Small Entity  Small Entity  Fee Description  Total Claims  Pee Fee Code (\$) 180		Paid 1503	630	2503	315	Plant issue fee			
Claims Multiple Dependent    Small Entity   Fee Description		1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent		1807	50	1807	50	Petitions related to provisional applications			
Large Entity   Small Entity   Fee Description   1809   750   2809   375   Filing a submission after final rejection (37   CFR 1.129(a))		1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee	\	8021	40	8021	40				
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	**or number previously paid, if greater; For Reissues, see above					<u> </u>			

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Quentin G. Cantrell	Registration No. (Attorney/Agent)	47,469	Telephone	(317) 634-3456
Signature	Truck of butt			Date	May 15, 2003

		03108	38-000002.QGC.2196	845//nkg////WEMMH (4/03)					
REQUEST F		Atty. Docket No. 31088-2	994,560						
EXTENSION O		Applicant Harold L. Bennett							
	<del>-</del>	Filing Date November 27, 2001	Group	1714					
		Totalisa signal	Examiner	Cephia D. Toomer					
Entity									
Large Small Date Filed November 27, 200									
Applicant's attorney reques	ts that the date f	or responding to the C	office Action dat	<b>ed</b> January 15, 2003					
be extended for one	month(s	) to and including	May 15, 2003						
Large Entity	Fee	Small	entity	Fee					
1 <sup>st</sup> mo. ext.	110	1st mo	ext.	55					
2 <sup>nd</sup> mo. ext.	410	2 <sup>nd</sup> mo	ext.	205					
3 <sup>rd</sup> mo. ext.	930	3 <sup>rd</sup> mo	. ext.	465					
4 <sup>th</sup> mo. ext.	1,450	4 <sup>th</sup> mo	. ext.	725					
The applicable fee is set forth above. The Patent and Trademark office is authorized to charge any deficiency or apply any overpayment credit to <b>Deposit Account No. 23-3030</b> .									
	-	Respectfully S	Submitted,	//					
		By	a Som	H					
		Quentin G. Ca	antrell						
		Reg. No. 4	7,469						
		Woodard, Em	hardt, Moriarty, I	VicNett & Henry LLP					
		Bank One Ce	nter Tower						
		111 Monument Circle, Suite 3700							
·		Indianapolis, Indiana 46204-5317							
		(317) 634-34	(317) 634-3456						
		First Class Mail							
I hereby certify that this corresponden (703) 746-4176 on this date:	hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office to 703) 746-4176 on this date:  Date of Deposit May 15, 2003								
Name of Registered Representative	Quentin G. Cantrell								
Signature	much	South		Date of Signature May 15, 2003					

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:	)	
•	)	Before the Examiner
Harold L. Bennett	)	
	)	Cephia D. Toomer
Serial No. 09/994,560	)	
·	)	Group Art Unit 1714
Filed: November 27, 2001	)	
	)	
IMPROVED PROCESS FOR PRODUCTION	)	
OF LOW TEMPERATURE CHAR	)	
DURING PRODUCTION OF LOW	)	•
TEMPERATURE TARS	)	May 15, 2003

#### AMENDMENT UNDER 37 CFR § 1.115

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Office Action dated January 15, 2003, please enter the following amendments and consider the following remarks:

#### INTRODUCTION

Claims 1-21 are pending in the application, and have been rejected or objected to in the Final Office Action. Applicant enters the following Amendment in order to place the Claims which the Examiner has identified as being directed to patentable subject matter into a condition for allowance.

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office to (703) 746-4176 on the date shown below.

Quentin G. Cantrell

Name of Registered Representative

Signature

May 15, 2003

Date of Signature

#### IN THE CLAIMS

Please amend the Claims as follows:

- (Presently amended.) A method for preparing carbon-derived char
   comprising the steps of:
  - (a) providing a carbonaceous precursor material;
  - (b) lowering the agglomeration value of the carbonaceous precursor material through the addition of inert organic matter;
  - (c) raising the percentage of volatiles in the carbonaceous precursor material to prevent agglomeration and caking;
  - (d) roasting the carbonaceous precursor material for between about 2

    hours and about 4 hours in a multi-hearth roaster including a

    plurality of decks constructed of non-corrosive metal with highheat transfer characteristics, with the ability to move a thin layer to
    material with rotating arms equipped with plow-shaped

    protrusions, in a substantially anaerobic environment at a roasting
    temperature between about 300 and 700 degrees Celsius; [and]
  - (e) cooling the resultant solid char[.];
  - (f) after step (d) and before step (e) extracting tar gases;
  - (g) before step (e) filtering the tar gases; and
  - (h) before step (e) washing the tar gases.

- 2. (Cancelled.)
- 3. (Cancelled.)
- 4. (Cancelled.)
- 5. (Cancelled.)
- 6. (Currently Amended) The method of claim 1 [5 wherein the roaster further includes a plurality of decks constructed of non-corrosive metal with high-heat transfer characteristics,] wherein each deck includes floor supports and ceilings formed from thin-shelled reinforced concrete double-wall construction.
- 7. (Original) The method of claim 6 wherein the roaster further includes a number of outside walls and wherein each outside wall has at least one port adapted to provide anaerobic access to the roaster.
- 8. (Presently Amended) The method of claim [2] 1 wherein step (f) includes the use of injected steam to assist in the removal of the tar and producer gases.
- 9. (Presently Amended) The method of claim 1 further comprising the step of after step (a) and before step (d) adding a carbonate acceptor material to facilitate sulfur removal.
- 10. (Presently Amended) The method of claim 1 wherein step (e) further includes depositing the resultant solid char in an inverted cone-shaped bin and injecting steam thereinto.

- 11. (Presently Amended.) A low-emission solid carbonaceous fuel produced by the following process steps:
  - a) providing a carbonaceous precursor material;
  - b) lowering the agglomeration value of the carbonaceous precursor material through the addition of inert organic matter;
  - c) raising the percentage of volatiles in the carbonaceous precursor material to prevent agglomeration and caking;
  - d) roasting the carbonaceous precursor material for between about 2

    hours and about 4 hours in a multi-hearth roaster including a

    plurality of decks constructed of non-corrosive metal with highheat transfer characteristics, with the ability to move a thin layer to

    material with rotating arms equipped with plow-shaped

    protrusions, in a substantially anaerobic environment at a roasting
    temperature between about 300 and 700 degrees Celsius; [and]
  - e) cooling the resultant solid char[.];
  - f) after step (d) and before step (e), extracting tar gases;
  - g) before step (e), filtering the tar gases; and
  - h) before step (e) washing the tar gases.
- 12. (Cancelled.)
- 13. (Cancelled.)
- 14. (Cancelled.)
- 15. (Cancelled.)

- 16. (Currently Amended.) The method of claim 11 [15 wherein the roaster further includes a plurality of decks constructed of non-corrosive metal with high-heat transfer characteristics,] wherein each deck includes floor supports and ceilings formed from thin-shelled reinforced concrete double-wall construction.
- 17. (Original) The method of claim 16 wherein the roaster further includes a number of outside walls and wherein each outside wall has at least one port adapted to provide anaerobic access to the roaster.
- 18. (Currently Amended) The method of claim [12] 11 wherein step (f) includes the use of injected steam to assist in the removal of the tar gases.
- 19. (Presently Amended) The method of claim 11 further comprising the step of after step (a) and before step (d) adding a carbonate acceptor material to facilitate sulfur removal.
- 20. The method of claim 11 wherein step (e) further includes depositing the resultant solid char in an inverted cone-shaped bin and injecting steam thereinto.
- 21. (Cancelled.)

#### REMARKS

The office action identified Claims 6-8 and 16-18 as being directed to patentable subject matter, but objected to them as being dependent upon rejected claims.

Claim 1 has been re-written herein to include all of the limitations of Claim 6, with the exception of "each deck includes floor supports and ceilings formed from thin-shelled reinforced concrete double-wall construction." Because the plurality of decks is nowhere taught or suggested in the prior art, it is respectfully submitted that this limitation is not required to place Claim 1 in condition for allowance. This limitation has been retained in Claim 6, which now depends directly from Claim 1.

Claims 9 and 10 depend from Claim 1, and therefore include each of its limitations. It is therefore respectfully submitted that they are in a condition for allowance.

Claim 11 has been amended, analogously to Claim 1, to include all of the limitations of Claim 16, except "each deck includes floor supports and ceilings formed from thin-shelled reinforced concrete double-wall construction." Similarly, Claim 16 retains this limitation in a dependent claim. Also analogously, Claims 19 and 20 are believed to be in a condition for allowance because of the new limitations added herein to the claim from which they depend.

#### **CONCLUSION**

For the foregoing reasons, Applicant submits that all claims are in a condition for allowance, and respectfully requests a Notice of Allowance for all pending claims.

No fees are believe to be necessary. Nevertheless, the Commissioner is hereby authorized to charge any fees due, including statutory fees for extensions of time, to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

Bv

Quentin G. Cantrell

Reg. No. 47,469

Woodard, Emhardt, Naughton,

Moriarty & McNett

Bank One Center/Tower

111 Monument Circle, Suite 3700

Indianapolis, Indiana 46204-5137

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#### WOODARD, EMHARDT, NAUGHTON, MORIARTY & MCNETT

PATENT AND TRADEMARK ATTORNEYS

BANK ONE CENTER/TOWER
111 MONUMENT CIRCLE, SUITE 3700
INDIANAPOLIS, INDIANA 46204-5137
Fax 317-637-7561

#### **FAX COVER SHEET**

NO. OF PAGES: COVER SHEET PLUS

10 PAGE(S)

TO:

Patent and Trademark Office

Attn: Examiner Cephia D. Toomer

Group Art 1714

**FAX TELEPHONE NO.:** 

703-746-4176

FROM:

Quentin G. Cantrell

DATE:

May 15, 2003

If problems occur during transmission, please contact us at one of the following:

VOICE PHONE number:

(317) 634-3456

PRIMARY FAX number:

(317) 637-7561

SECONDARY FAX number:

(317) 637-7587

SPECIAL REMARKS: App No. -09/994,560 (Our Dkt No. 31088-2)

**Transmittal Form** 

Fee Transmittal Form

**Request For Extension Of Time** 

Amendment Response to Final Office Action.

#### THIS MESSAGE IS INTENDED ONLY FOR THE ADDRESSEE(S) IDENTIFIED ABOVE.

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## WOODARD, EMHARDT, NAUGHTON, MORIARTY & MCNETT PATENT AND TRADEMARK ATTORNEYS

BANK ONE CENTER/TOWER 111 MONUMENT CIRCLE, SUITE 3700 INDIANAPOLIS, INDIANA 46204-5137 Fax 317-637-7561

#### **FAX COVER SHEET**

NO. OF PAGES: COVER SHEET PLUS

PAGE(S) 10

TO:

Patent and Trademark Office

Attn: Examiner Cephia D. Toomer

Group Art 1714

FAX TELEPHONE NO.:

703-746-4176

FROM:

Quentin G. Cantrell

DATE:

May 15, 2003

If problems occur during transmission, please contact us at one of the following:

Gropp, Noreitta

Subject:

31088-2 Bennett 09/994,560

Status:

Not Started

**Percent Complete:** 

0%

**Total Work: Actual Work:**  0 hours 0 hours

Owner:

Gropp, Noreitta

9/25/02 - QGC working atty on this file per TJ. Amendment due 9/26. (10/26/02 - 6 month final.) Had dktg move deadline two weeks; started trans. fm. 9/26/02 -- Filed Amendment & 2-month E/T.

FINAL OA 4/15-7/15

2/25/03 - Itr client recom'g abandon rejected claims & get patentable ones issued.

2/25/03 - Rpt'd Final O.A. to client

3/21/03 - reminded Q & asked if he had spoken w/client recently.

3/20/03 - e-mailed Quentin asking if he had spoken w/client recently.

4/11/03 - called Quentin's to O.A. due date(s).

4/15/03 - Today is the 3-month for response to the final office action; I moved the date one month. Have you heard from the client? Yes, I did. I believe we're going to file a response essentially implementing the examiner's suggestions, but not today. q

4/13/03 - e-mailed Quentin reminder of 4-month due date of 5/15.

5/15/03 - per Quentin we are going to file response to O.A. 5/15 plus 1 month E/T.

5/15/03 - filed response to final O.A. and 1-month E/T via fax

6/11/03 - Ltr client re publication.

7/24/03 - received phone call from Examiner Toomer who had not received our 5/15 filed response to final O.A. & 1-month E/T which was faxed on 5/15. She said that it might be in scanning as all documents are now scanned in order to eliminate paperwork. I said that I would pull the file and verify that the fax had been received and would call her.

7/24/03 - 10:45 a.m. - Called Examiner Toomer's direct number (703-308-2509). Had to leave voice mail - verified that fax had been sent and received according to our machine by the PTO's office (we have confirmation that all pages went through). I left my name and phone no & Quentin's name and said I would wait for her call if she wished me to refax the document or whatever she wished me to do.

**EXHIBIT** 

#### DECLARATION OF HAROLD BENNETT

- I, Harold Bennett, on my oath, declare as follows:
- I have personal knowledge of the facts contained in this declaration. I am over the age of eighteen (18), and am competent to make this Declaration and to testify with regard to its contents.
- 2. I am the inventor and assignor of U.S. Patent Application No. 09/994,560, filed Nov. 27, 2001, entitled "Improved Process for Production of Low Temperature Char During Production of Low Temperature Tars."
- 3. I only recently learned that my application had become abandoned. Because of the recent increase in oil prices, I believe my invention will be of increased value, and I have had opportunity to consider some new business opportunities involving my invention. This recently lead me to check on the status of my application on line, but I could find no record of it.
- 4. When I failed to find any record of my application, I contacted my attorneys. That was on July 11, 2008. They indicated that the file was in off-site storage, and that they would contact me when the file had been retrieved.
- 5. On July 14, 2008, my attorneys contacted me, and informed me that the Patent Office had sent a Notice of Abandonment on Nov. 28, 2003.
- 6. Based on our records, the Notice of Abandonment was issued by the Patent Office on the mistaken belief that we had not responded to a January 15, 2003 Office Action. According to our records, a response to the January 15, 2003 Office Action was filed on May 15, 2003, along with a petition and fee for a 1 month extension of time, and was therefore timely.
- 7. Furthermore, based on our records, the Patent Office communicated with the office of my attorneys on July 24, 2003, because the Examiner could not find the response that

EXHIBIT

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was filed on May 15. According to our records, the Examiner indicated that the response might be with the scanning department. My attorneys' office indicated that, if that turned out to be incorrect, they could send another copy, but no request for an additional copy was ever received.

- 8. Because there was, in fact, no delay in filing the response to the January 15 Office Action, the abandonment was unavoidable.
- 9. Between May 15, 2003, and July 11, 2008, I believed that my application was still pending, and awaiting action by the Patent Office.
- 10. Consequently, any delay in filing a petition to revive the application was unintentional.
- 11. The foregoing statements are true to the best of my knowledge and belief. I know that if I have made any willfully false statements I may be subject to punishment for perjury.

Harold Bennett

Horest